

博士學位論文

肺癌細胞株における、EGFR 阻害剤の EMT
による耐性機序に及ぼすダサチニブの効果

近畿大学大学院
医学研究科医学系専攻

瀬角裕一

Doctoral Dissertation

Effect of dasatinib on EMT-mediated-mechanism of
resistance against EGFR inhibitors in lung cancer cells

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November 2017

同意書

平成29年10月23日

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論文題目

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下記の学位論文提出者が、標記論文を貴学医学博士の学位論文（主論文）として使用することに同意いたします。
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2 **EGFR inhibitors in lung cancer cells**

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21 **Figures:** 3 Figures

25 **Abstract**

26 Objective: The epithelial to mesenchymal transition (EMT) is associated with acquired
27 resistance to epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKIs)
28 in certain non-small cell lung cancers that harbor *EGFR* mutations. Because no
29 currently available drugs specifically kill cancer cells via EMT, novel treatment
30 strategies that overcome or prevent EMT are needed. A recent report suggested that
31 dasatinib (an ABL/Src kinase inhibitor) inhibits EMT induced by transforming growth
32 factor (TGF)-beta in lung cancer cells (Wilson et al., 2014). In this study, we analyzed
33 effects of dasatinib on the resistance mechanism in HCC4006 cells, which tend to
34 acquire resistance to EGFR-TKIs via EMT.

35 Materials and methods: Sensitivity to dasatinib in HCC4006 and HCC4006 erlotinib-
36 resistant (ER) cells with an EMT phenotype was analyzed. HCC4006 cells acquired
37 resistance against the combination of erlotinib and dasatinib (HCC4006EDR) following
38 chronic treatment with these drugs. The expression of EMT markers and the resistance
39 mechanism were analyzed.

40 Results: Short-term or long-term treatment with dasatinib did not reverse EMT in
41 HCC4006ER. In contrast, HCC4006EDR cells maintained an epithelial phenotype, and
42 the mechanism underlying resistance to erlotinib plus dasatinib combination therapy
43 was attributable to a T790M secondary mutation. HCC4006EDR cells, but not
44 HCC4006ER cells, were highly sensitive to a third-generation EGFR-TKI, osimertinib.

45 Conclusions: Although dasatinib monotherapy did not reverse EMT in HCC4006ER
46 cells, preemptive combination treatment with erlotinib and dasatinib prevented the
47 emergence of acquired resistance via EMT, and led to the emergence of T790M. Our
48 results indicate that preemptive combination therapy may be a promising strategy to

49 prevent the emergence of EMT-mediated resistance.

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52 **Keywords:** epithelial-mesenchymal transition, acquired resistance, dasatinib, third-
53 generation EGFR-TKI, non-small cell lung cancer

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73 **Introduction**

74 Somatic activating mutations of the epidermal growth factor receptor (*EGFR*)
75 gene are present in approximately 40% and 15% of non-small cell lung cancers
76 (NSCLCs) in East Asians and Caucasians, respectively [1-3]. For patients with
77 NSCLCs harboring an *EGFR* mutation, EGFR-tyrosine kinase inhibitors (TKIs), which
78 are used as a first-line therapy, have demonstrated significantly longer progression-
79 free survival than cytotoxic chemotherapy in randomized phase III studies [4–7].
80 However, the emergence of acquired resistance is virtually inevitable, even in
81 patients with initially dramatic responses, after a median of approximately one year
82 [8].

83 Several resistance mechanisms to EGFR-TKIs have been reported, including a
84 secondary point mutation in codon 790 of exon 20 (T790M) of the *EGFR* gene [9],
85 *MET* gene amplification [10,11], *HER2* gene amplification [12,13], transformation to
86 small cell lung cancer [14–17], and epithelial to mesenchymal transition (EMT) [18–
87 21].

88 Among these resistance mechanisms, T790M is a treatable mutation with the
89 use of the T790M-specific irreversible EGFR-TKIs (third-generation EGFR-TKIs)
90 [22,23]. In contrast, certain resistance mechanisms, particularly EMT, are difficult to
91 treat with currently available agents.

92 Based on experimental observations, individual lung cancers appear to be
93 “destined” to develop a specific resistance mechanism(s) to EGFR-TKIs [24]. For
94 example, PC9 cells often develop resistance through T790M [25–27], while HCC827
95 cells acquire *MET* gene amplification to become resistant to the first-generation
96 EGFR-TKIs [10,28–30]. In HCC4006 cells, we and others have identified an EMT

97 phenotype as the mechanism of acquired resistance to the first-generation EGFR-
98 TKIs [21,31,32]. However, we have previously suggested that this “destiny” can be
99 modified if inhibitors targeted to the “destined” resistance mechanism are given in
100 combination with EGFR-TKIs. For example, HCC827 cells instead acquired
101 resistance through T790M when a MET-TKI was given together with erlotinib [30].

102 Recently, Wilson et al. reported that dasatinib (an ABL/Src kinase inhibitor) was
103 more effective against lung cancer cells that underwent TGF-beta induced EMT
104 compared to their parent cells [33]. Inspired by this study, we analyzed the effects of
105 dasatinib on HCC4006 erlotinib-resistant (ER) cells as well as HCC4006 cells to
106 determine if dasatinib can prevent EMT as a resistance mechanism.

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109 **2. Material and Methods**

110 **2.1. Cell lines and reagents**

111 The human lung adenocarcinoma cell line HCC4006 was a kind gift from Dr. A. F.
112 Gazdar (Hamon Center for Therapeutic Oncology Research, University of Texas
113 Southwestern Medical Center at Dallas, Dallas, TX). HCC4006 erlotinib resistant
114 cells (HCC4006ER) were established in our previous study [21]. HCC4006ER cells
115 were chronically treated with dasatinib for 1 month, with medium replacement in
116 every 3 - 4 days. HCC4006 erlotinib and dasatinib resistant cells (HCC4006EDR)
117 were established via chronic exposure to increasing concentrations of erlotinib (20
118 nM to 2 μ M) in the presence of dasatinib as previously described [30]. Cells were

119 cultured in RPMI 1640 medium (Wako, Osaka, Japan) supplemented with 10% heat-
120 inactivated fetal bovine serum (FBS; Sigma-Aldrich, St. Louis, MO) at 37°C in a
121 humidified incubator containing 5% CO₂. Erlotinib (a first-generation reversible
122 EGFR-TKI), dasatinib (an ABL/Src kinase inhibitor) and osimertinib (a third-
123 generation irreversible EGFR-TKI) were purchased from Selleck Chemicals (Houston,
124 TX, U.S.).

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126 **2.2. Growth inhibition assay**

127 Cell proliferation was measured using Cell Counting Kit-8 (Dojindo, Kumamoto,
128 Japan) according to the manufacturer's protocol. Briefly, cancer cells (4×10^3) were
129 plated onto each well of a 96-well flat-bottomed plate and grown in RPMI containing
130 10% FBS. After 24 hours, dimethyl sulfoxide (DMSO), erlotinib, dasatinib, osimertinib,
131 or a combination of these drugs was added at the desired drug concentrations, and
132 the cells were incubated for an additional 72 hours. A colorimetric assay was
133 performed after adding 10 μ L of Cell Counting Kit-8 reagent to each well and
134 incubating the plates at 37°C for 2–4 hours. The absorbance at 450 nm was read
135 using a multiplate reader (Tecan, Männedorf, Switzerland), and the growth
136 percentage was expressed relative to DMSO-treated controls.

137

138 **2.3. Preparation of DNA and RNA**

139 Genomic DNA was extracted using a DNeasy Blood & Tissue Kit (Qiagen, Venlo,
140 the Netherlands) according to the manufacturer's protocol. Total RNA from cell lines
141 was isolated using a mirVana miRNA Isolation Kit (Qiagen), and complementary DNA
142 was synthesized from total RNA using ReverTra Ace® qPCR RT Master Mix with
143 gDNA Remover (TOYOBO, Osaka, Japan) according to the manufacturer's protocol.

144

145 **2.4. Mutation analysis and gene copy number analysis**

146 Mutational analyses of exons 18 to 21 of the *EGFR* gene were conducted by
147 performing direct sequencing. PCR was performed as previously described [21].
148 Direct sequencing was performed using a BigDye Terminator v3.1 Cycle Sequencing
149 Kit (Life Technologies, Carlsbad, CA,) and an ABI 3130XL instrument (Life
150 Technologies) according to the manufacturer's protocol. The copy numbers of the
151 *MET* and *HER2* genes relative to *LINE1* repetitive elements were measured by real-
152 time PCR using Power SYBR Green PCR Master Mix (Life Technologies) and the
153 StepOnePlus system (Life Technologies). PCR was performed in triplicate for each
154 primer set. Normal genomic DNA was used as a standard sample.

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156 **2.5. Western blot analysis**

157 Cells were cultured until subconfluency was reached, and the medium was
158 changed to fresh medium containing DMSO or the indicated drug concentrations.
159 After 8 hours, cell lysates were collected using sodium dodecyl sulfate (SDS) buffer.
160 Approximately 20 µg of total cell lysate was separated by SDS-poly-acrylamide gel

161 electrophoresis and transferred to polyvinylidene difluoride membranes (Bio-Rad,
162 Hercules, CA). After blocking with Western BLoT Blocking Buffer (Protein Free)
163 (Takara, Shiga, Japan) or PBS containing 2.5% skim milk and 2.5% bovine serum
164 albumin, the membranes were incubated overnight with primary antibodies (1:1,000)
165 and washed with PBS containing 0.05% Tween 20 (PBS-T). Then, the membranes
166 were incubated with a secondary antibody (1:4,000) and washed again with PBS-T
167 before detection with an Amersham ECL Western Blotting Detection Kit (GE
168 Healthcare, Fairfield, CT) or Western BLoT Quant HRP Substrate (Takara).
169 Chemiluminescence was detected with an Amersham Imager 600 instrument (GE
170 Healthcare). Anti-phospho-EGFR, anti-EGFR (Tyr1068), anti-phospho-AKT, anti-
171 AKT(Ser473), anti-phospho-ERK, anti-ERK (Tyr202/Tyr187), anti-E-cadherin, anti-
172 vimentin and anti--actin antibodies were purchased from Cell Signaling Technologies
173 (Danvers, MA).

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176 **3. Results**

177 **3.1. Dasatinib treatment did not alter the EMT phenotype in HCC4006ER cells**

178 HCC4006ER cells which we had established previously were approximately
179 1,000-fold more resistant to erlotinib compared with parental HCC4006 cells (Fig. 1A).
180 We first investigated the effects of dasatinib on HCC4006 and HCC4006ER cells.
181 HCC4006ER cells were slightly more sensitive to dasatinib compared with HCC4006
182 parental cells (IC₅₀ values of 0.19 μ M and 0.46 μ M, respectively) (Fig. 1B). We also
183 investigated the expression of EMT markers by western blot analysis as in the recent
184 studies [34-36]. Neither short-term (8 hours) nor long-term treatment (1 month) with

185 500nM dasatinib affected E-cadherin and vimentin expression in both parental
186 HCC4006 cells and HCC4006ER cells (Fig. 1C).

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188 **3.2. Upfront combination therapy with erlotinib and dasatinib**

189 Next, we examined if upfront combination treatment of dasatinib and erlotinib
190 could prevent the emergence of the EMT phenotype or not. We chronically exposed
191 HCC4006 parental cells to increasing concentrations of erlotinib (20 nM to 2 μ M) in
192 the presence of fixed 500 nM dose of dasatinib; the concentration is based on the
193 IC₅₀ of dasatinib (460nM) in HCC4006 cells. Four months later, we were able to
194 obtain resistant cells, which we designated as HCC4006EDR cells (Fig. 2A).
195 HCC4006EDR cell identity was confirmed by a cell-line authentication service using
196 short tandem repeat profiling (Promega, Madison, WI, U.S.).

197 We analyzed the protein expression of E-cadherin and vimentin in HCC4006,
198 HCC4006ER, and HCC4006EDR cells. HCC4006EDR cells maintained E-cadherin
199 expression and lost vimentin expression, indicating that combination treatment
200 prevented the emergence of EMT-mediated acquired resistance in HCC4006 cells
201 (Fig. 2B).

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203 **3.3. Resistance mechanism of HCC4006EDR cells**

204 To analyze the acquired resistance mechanism in HCC4006EDR cells, we
205 investigated currently known resistance mechanisms. HCC4006EDR cells did not

206 exhibit *MET* gene amplification or *HER2* gene amplification (Fig. 2C). However, we
207 observed a T790M secondary mutation in HCC4006EDR cells (Fig. 2D). As expected,
208 HCC4006EDR cells were highly sensitive to osimertinib (Fig. 3A), and EGFR
209 downstream pathways were notably inhibited by osimertinib treatment in
210 HCC4006EDR cells (Fig. 3B).

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213 **4. Discussion**

214 We demonstrated that upfront combination therapy with dasatinib prevented the
215 emergence of EMT-mediated acquired resistance in HCC4006 cells. Cells resistant
216 to combination therapy acquired the T790M secondary mutation, which is highly
217 sensitive to osimertinib.

218 EMT is a biological process through which epithelial cells lose their polarity and
219 cell-cell adhesions and acquire mesenchymal phenotypes characterized by higher
220 motility, invasion, and metastases [37–39]. EMT is also the reported cause of
221 resistance to therapies such as molecular targeted drugs [20,21], cytotoxic agents
222 [40–43], and radiation [44]. Given that no currently available drugs specifically kill
223 cancer cells with EMT, the identification of novel treatment strategies that prevent
224 EMT would be promising.

225 Similar attempts have recently been reported. Soucheray et al. utilized a TGF-
226 beta inhibitor (SB431542) in combination with erlotinib in HCC4006 cells and found
227 that resistant cells acquired the T790M secondary mutation [35]. This is consistent
228 with the fact that TGF-beta regulated genes were upregulated in HCC4006ER cells
229 compared with the parental cells by the gene set enrichment analysis [21].

230 The aforementioned results by Soucheray, et al., together with ours, suggest that
231 EMT inhibitors are able to alter the resistance mechanism from EMT to the T790M
232 secondary mutation. With the availability of third-generation EGFR-TKIs, T790M-
233 mediated resistance became far easier to deal with. Therefore, upfront combination
234 therapy preventing EMT and instead guiding cancer cells to the T790M secondary
235 mutation would result in prolonged overall survival. This phenomenon is similar to
236 what we reported previously: the upfront combination of PHA-665752 (MET-TKI) plus
237 erlotinib resulted in T790M in HCC827 cells, which otherwise become resistant via
238 *MET* amplification without PHA-665752 [30].

239 When considering combination therapy, toxicities is a concern. Currently, there
240 are no available data regarding the combination of TGF-beta receptor inhibitors and
241 EGFR-TKIs. However, the combination therapy of erlotinib with dasatinib was
242 conducted in a phase I /II clinical trial [45]. Although this trial did not focus on lung
243 cancer patients with *EGFR* mutations, the results showed tolerable adverse events
244 by this combination.

245 The frequency of the resistance through EMT has been incompletely clarified.
246 Sequist et al. reported that EMT occurred in 3 patients out of seven without known
247 other resistance mechanisms, and none out of five patients with T790M mutation
248 after acquisition of resistance to first-generation EGFR-TKIs [14]. While, Uramoto et
249 al. reported that EMT was observed in 4/9 cases of resistance to EGFR-TKI,
250 independent of T790M mutation [18]. At this time, it is not possible to predict which
251 patients become resistant through EMT. In the future, however, we may be able to
252 predict such patients and whether they would be candidates for dasatinib and
253 erlotinib combination therapy. Thus far, it is unclear if resistant cells with EMT are

254 derived from the “selection” of pre-existing minor EMT clones or from the “acquisition”
255 of the EMT phenotype by epithelial cells that persist during early EGFR-TKI
256 treatment. However, it can be speculated that the clinical application of third-
257 generation EGFR-TKIs that inhibit the emergence the T790M mutation results in a
258 higher incidence of acquired resistance via EMT.

259 In conclusion, targeting the resistance mechanism after the acquisition of resistance
260 to EGFR-TKIs is one important strategy to improve outcomes for patients with *EGFR*
261 mutations. However, for those resistance mechanisms for which there is no specific
262 treatment, including EMT, preemptive combination therapy should be a promising
263 strategy to guide cancer cells towards treatable resistance.

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266 **Conflict of interest**

267 Tetsuya Mitsudomi received lecture fee from AstraZeneca, Boehringer-Ingelheim,
268 Chugai and Pfizer and research funding from AstraZeneca, Boehringer-Ingelheim and
269 Chugai. All other authors declare that they have no conflict of interest related to this
270 study.

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272

273 **Fundings**

274 This work was partially supported by grants from the IASLC Lung Cancer Young
275 Investigator Award for 2015 - 2017 (K.S.) and the Grants-in-Aid of Cancer Research
276 from the Ministry of Education, Science, Sports, and Culture of Japan (15K18450 to
277 K.S.).

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279

280 **Acknowledgments**

281 The authors are grateful to Dr. Takeshi Yoshida (Department of Medical Oncology,
282 Kindai University Faculty of Medicine) for helpful discussions regarding this article.

283 The authors also thank Mr. Yoshihiro Mine, Ms. Eiko Honda (Center for instrumental
284 Analyses Central Research Facilities, Kindai University Faculty of Medicine) for their
285 technical assistance.

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Figure Legends

Figure 1. Effect of dasatinib treatment on HCC4006 and HCC4006ER cells.

(A and B) Anti-proliferative effects of erlotinib (A) and dasatinib (B) in HCC4006 and HCC4006ER cells. Four thousand cells were incubated for 24 hours and treated with the indicated concentrations of each drug for additional 72 hours.

(C) Western blot analysis of E-cadherin and Vimentin in HCC4006 and HCC4006ER cells. Both cells were treated with 500nM dasatinib for 8 hours or 1 month.

Figure 2. Analysis of the resistance mechanism of HCC4006EDR cells.

(A) Anti-proliferative effects of the combination of erlotinib and dasatinib in HCC4006, HCC4006ER and HCC4006EDR cells.

Four thousand cells were incubated for 24 hours and treated with the combination of 0.5 μ M dasatinib and indicated concentrations of erlotinib for additional 72 hours.

(B) Western blot analysis of E-cadherin and vimentin in each cell line. In HCC4006EDR cells, E-cadherin expression was increased and vimentin expression was decreased compared with HCC4006ER cells.

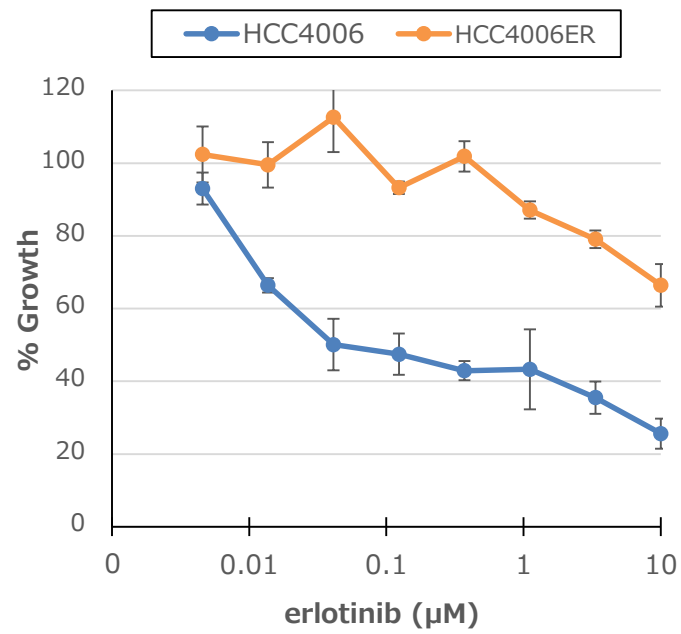
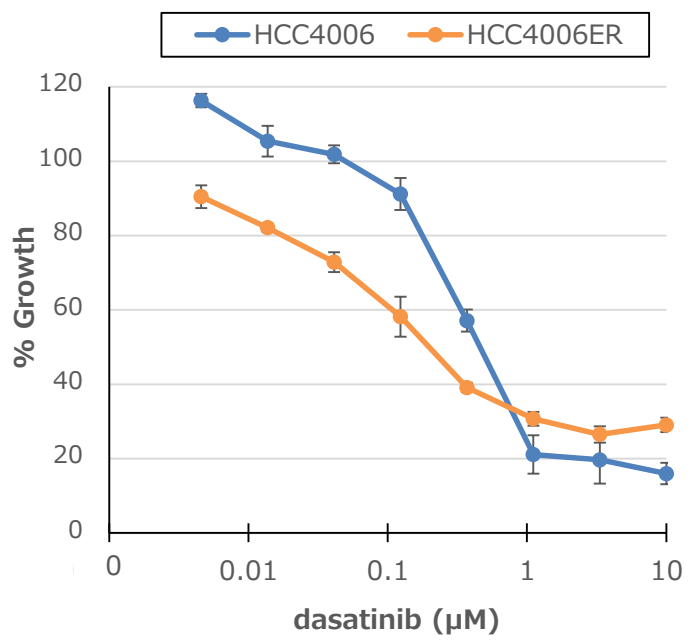
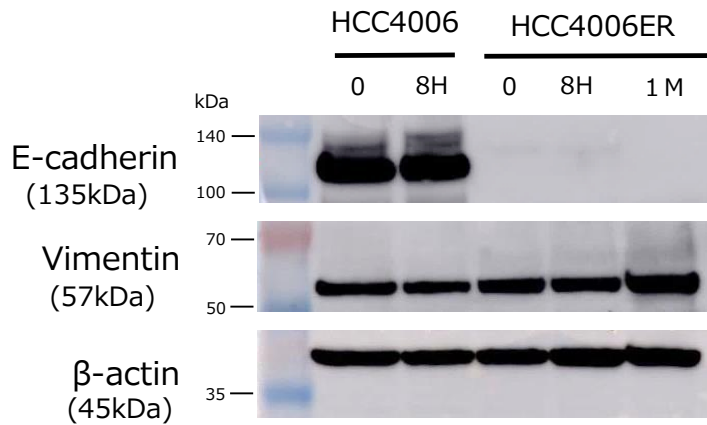
(C) Gene copy numbers of *MET* and *HER2* in HCC4006EDR cells. Data are expressed relative to *LINE-1* elements. *MET* and *HER2* gene copy numbers of each cell line were measured by quantitative real-time PCR.

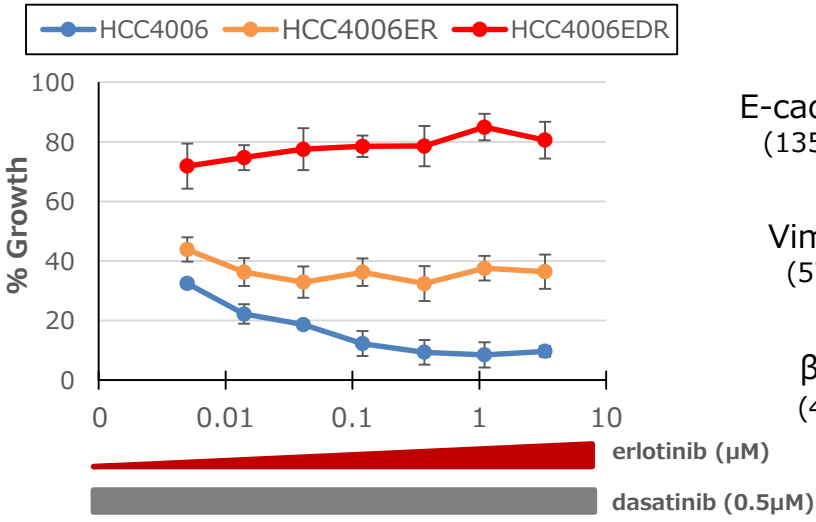
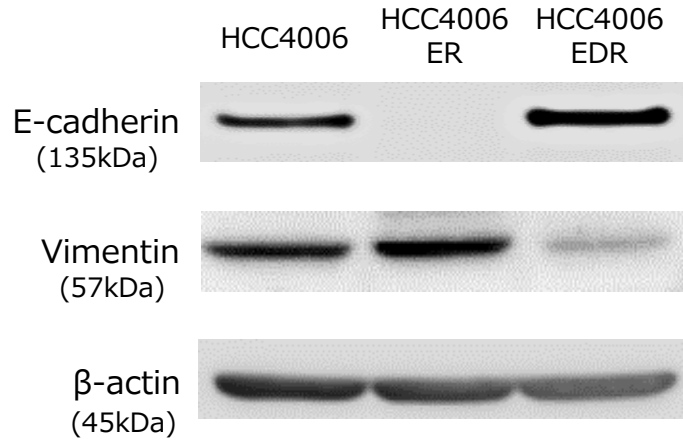
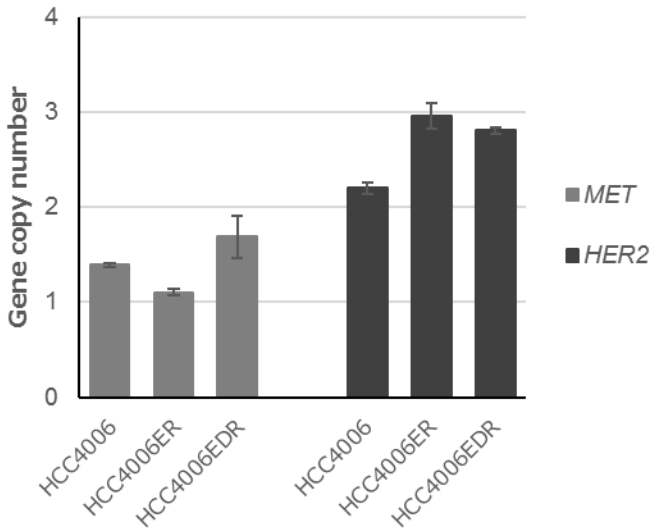
(D) Antisense strands of sequencing chromatograms for *EGFR* exon 20 are shown. C to T substitution at nucleotide 2369 (G to A on the antisense strand) resulted in the T790M mutation.

Figure 3. In vitro sensitivity to the third-generation EGFR-TKI osimertinib in HCC4006EDR cells.

(A) Anti-proliferative effects of osimertinib in HCC4006, HCC4006ER and HCC4006EDR cells. Four thousand cells were incubated for 24 hours and treated with the indicated concentrations of osimertinib for additional 72 hours.

(B) Western blot analysis of EGFR and its downstream signaling components in HCC4006, HCC4006ER and HCC4006EDR cells. Total cell lysates were extracted 8 hours after exposure to DMSO (D), erlotinib (E; 2 μ M) or osimertinib (O; 160 nM).

A**B****C**

A**B****C****D**